

Exhibit 5

UNEDITED, UNCERTIFIED, ROUGH DRAFT ONLY

Deposition of 7-21-2009. Direct by George.

VIDEOGRAPHER: Today is July 21st, 2009. This is the videotaped deposition of Craig Thompson taken in the case of SFA Systems, LLC versus Infor Global Solutions, Incorporated, et al., Civil Action No. 6:07 CV 067. The time is 10 o'clock a.m. We're on the record at Thomspson & Knight in Dallas, Texas. We're on the record.

CRAIG WARREN THOMPSON,
having been first duly sworn, testified as follows:

MR. GEORGE: I'm Bruce George of Blank Rome Philadelphia for Defendant, Infor Global Solutions, with me is Joel Dion, also with Blank Rome.

MR. PRIDHAM: David Pridham here on behalf of the Plaintiff.

THE WITNESS: I'm Craig Thompson.

EXAMINATION

BY MR. GEORGE:

Q. Hi, Dr. Thompson, thanks for coming today. Just to get started, when were you first retained in this matter?

A. The very end of April, I think, the 30th.

Q. Who contacted you?

1 change that a little bit, where -- change of topic a
2 little bit, leave Spezialetti maybe alone for a little
3 bit. Let's start, if you would, I guess, what I'd like
4 to do is maybe go through the prior art portions of your
5 report.

6 A. Okay.

7 Q. And just address or clarify your analysis for
8 say the claim elements, primarily directed, you're using
9 Claim 1 as say the representative claim for the
10 independent claims, you would agree most of those steps
11 are similar?

12 A. Somewhat related.

13 Q. So we'll be able to do that. Let's see,
14 starting with --

15 A. You have the advantage of tabs.

16 Q. Yeah. No, I'll tell you. I'll tell you the
17 page. Let's see. I'll try to walk you through it.
18 Maybe we'll get through it quicker that way, too?

19 A. Okay.

20 Q. Okay. On page 56, just addressing the
21 preamble. Do you dispute that fully describes the
22 preamble of Claim 1, which is a computer implemented
23 sales system used to facilitate the sales process, the
24 system comprising?

25 MR. PRIDHAM: Object to form.

1 A. Phillipe is a -- the Phillipe patent describes
2 the prodigy online system circa 1989 or '90, somewhere
3 in that time frame, which is like -- could be thought of
4 as an analog to the worldwide web. That is, there might
5 be a client that sits on a user's machine and a server
6 back at some central place, and as such, primarily
7 Phillipe is directed at describing the reservation
8 system or client interface, is what they call it. But
9 I'll call it the client interface or the browser like
10 interface. So I think Phillipe is primarily directed at
11 describing how their servers and their clients
12 communicate.

13 So I don't see that Phillipe is primarily
14 targeted at -- it is certainly computer implemented
15 system, but I don't see that it is primarily targeted at
16 a sales system used to facilitate a sales process.

17 Q. Does Phillipe disclose any part of a sales
18 process?

19 A. At a few points, maybe one or more points in
20 Phillipe he mentioned that you might be able to do, and
21 I can't remember his list, but banking is among them,
22 and for instance, and so if one understands what the web
23 is now and sort of considers -- oh, I think weather
24 might be another one, but if you consider web pages
25 nowadays and assume that they get used for any purpose

1 of for small information or banking or stocks or
2 whatever, then by analogy you'd have to believe that the
3 prodigy system could be used for system -- for uses like
4 that. I don't think that the Phillipe patent goes into
5 any detail on any of those. I think they call them
6 partitioned applications.

7 Q. Uh-huh. Okay. Moving to Claim 1, element A.
8 Is it correct that you dispute that Phillipe performs
9 this claim element?

10 A. Let me review. I think it says I just said
11 that in fact certainly the case that Phillipe describes
12 an overarching system that consists of plurality of
13 systems and that they configured in some way and they
14 facilitate something and they might facilitate actions
15 and so that part is certainly the case.

16 Now, as I said, it was analogous to a web
17 browser, that is, it was like prodigy's the description
18 of that prodigy online system. So I don't think that it
19 was directed to performing these partitioned
20 applications as during at least one phase of a sales
21 process. I don't think it's directed in that way.
22 That's not the emphasis. It's certainly possible that
23 someone could use it to implement a step or a phase of a
24 sales process.

25 Q. Okay. Moving to one B. An event manager

1 coupled to the subsystems, the event manager detecting
2 one or more changes in state characteristic of an event
3 occurring within the system.

4 A. And your question?

5 Q. And your analysis is on 62. You do agree that
6 it performs -- that Phillipe performs this claim
7 element?

8 A. Phillipe is clear that there is an event
9 manager. They describe it in some detail here and there
10 and give some examples. And so I don't dispute that
11 they have an event manager that detects one or more
12 changes in state characteristics of events that occur in
13 the system. Most of their examples are directed to
14 those traditional graphical user interface events, like
15 scrolling down a page, which is a major portion of what
16 the Phillipe browser like interface, when they describe
17 it, they're describing blocks of data filling up parts
18 of the page and browsing capability, and so on. So they
19 are using that event manager for at least recognizing
20 those graphical user commands, which were prior art, in
21 my view. I don't think they're -- I don't know that
22 they were claiming them. So, yes, I don't dispute that.

23 Q. Okay. Element C. Inferring occurrence of the
24 event in a context in which the event occurred based at
25 least in part on detected changes in state. Your

1 manager detects, infers and initiates. So the event
2 manager is not different than -- or rather it's
3 different in some sense, it is a structure that performs
4 all three of these families of actions, which -- one of
5 which is inferring. I didn't see if the Phillipe patent
6 providing the inferring step, as we said, it does
7 provide a detecting step, but not -- there's no real
8 evidence of the inferring step. So I didn't see that
9 once it detects something that it does anything more
10 than to take a procedural sequence of steps as actions.
11 I mean, that's what I would presume it -- I mean, in the
12 absence of anymore description, it didn't look like it
13 was checking the antecedents of what had just happened,
14 the event that had just happened against a rule base and
15 taking an action.

16 Q. You do use the term in this prior art, and
17 maybe I can find a cite as we move forward, but let me
18 ask now, you do often say that the prior art does not
19 teach or does not disclose an inference mechanism.

20 A. Or perhaps --

21 MR. PRIDHAM: Object to form.

22 A. -- provide -- I was, as an expert, looking for
23 an inference mechanism, a rules -- in a rule base, some
24 reasoning component that might be using such things,
25 some evidence that went beyond a conventional event

1 driven, that is, listening, followed by a direct
2 handling that would be thought of as procedural
3 collection of steps. Was looking for some indirection
4 there that would add flexibility to the system, where
5 the business rules or inferences or the knowledge would
6 be that could provide that indirection or sharing or
7 other. And I didn't really see any mechanism like that
8 fully.

9 Q. Okay. So the term inference mechanism, I'm
10 thinking when you use the term mechanism, some kind of
11 component or structure. What would an inference
12 mechanism be or could it be?

13 A. Among other things, it could be an inference
14 engine with a rule base, or, alternatively, it could be
15 entries into a semantic web knowledge base or and it
16 could somehow indirectly call some learning mechanism or
17 something beyond just calling a fixed procedural set of
18 steps, so it could have been potentially more than --
19 well, more than what I viewed as they say how prior art
20 would have done it, if that's all I was seeing in '525,
21 I would have said, well, I think we already have one of
22 those. But I didn't see an example of a distributed
23 system. I didn't see any of the eight references an
24 example of a directed system that when it recognized a
25 situation that it detected a new -- that a context was

1 implemented sales system used to facilitate a sales
2 process, the system comprising.

3 A. I did agree to that.

4 Q. Okay. You do have the caveat, for one narrow
5 hard coded phase of the sales process.

6 A. I do.

7 Q. Okay. All right. Element A. The plurality of
8 subsystems configured to facilitate one or more actions
9 performed during at least one phase of the sales
10 process.

11 A. I agree.

12 Q. You do agree that Long performs that element.
13 Thank you.

14 A. Again, for that one -- or for a narrow phase of
15 the sales process in that /REULGDZ way.

16 Check.

17 Q. Okay. Element B. An event manager coupled to
18 the subsystems. The event manager detecting one or more
19 changes in state characteristic of an event occurring
20 within the system. You do agree that Long performs that
21 claim element.

22 A. Yes. It was certainly an event manager. It
23 does a very simple kind of event managing. It's
24 implemented by polling e-mail, I think we talked about
25 that many hours ago and -- but it does have event

1 manager, even though I believe they don't mention
2 events, event managers or event management. Now that I
3 think of it, that thing that does the polling, I would
4 have to go back and look to see whether it's coupled to
5 the subsystems, that is, as if a separate box, if you
6 will, in this architecture diagram or whether it's more
7 like integrated or just a pile of code that's kind of
8 tied closely to the systems on the claim in the server
9 site. I might have to review that aspect. But
10 generally, there is something that conceptually would
11 recognize these simple events, like poll for an e-mail
12 message that says, this is a request for quote or this
13 is an order.

14 Q. Okay. Thank you. Element C. Inferring
15 occurrence of the event in the context in which the
16 event occurred based at least in part on the detected
17 changes in state. Okay. You do believe Long does not
18 perform this claim element.

19 A. Yes. I again do not see any description in the
20 Long patent of any inference or rule or expert system or
21 knowledge base or something or learning.

22 Q. Okay.

23 A. I just see these hard coded events.

24 Q. In the middle of 108, you mention, the system
25 does not provide a mechanism for inferring, context or

1 rules. I just want to clarify. Were you looking for a
2 mechanism for context?

3 A. Actually, I suppose I could back off from the
4 word context. It does have an extremely simple notion
5 of event detection, and context is getting out that
6 notion of, in this situation, do something. And there
7 is a notion that's simple, very simple about context.
8 It's basically, hold this e-mail and see if it says it's
9 an order event or it's a request for quote event.

10 So, in that sense, it's a hard coded --
11 it's not a separable context mechanism, but it's kind of
12 a hard coded one. So, whether I would call that a
13 mechanism or pull it out, it's pretty darn hard coded
14 into the system. There's no indirection for knowledge,
15 no way to insert business rules there, that I see.

16 Q. Okay. And in this context -- that maybe wasn't
17 a good word -- but you are referring, when we're
18 speaking of here a mechanism for context, context as the
19 Court's construed it, in that a context is information.

20 A. Uh-huh.

21 Q. Not like a contextual mechanism of some kind.

22 A. Right. I see it as the information often in
23 rule systems, upon this condition in this context, could
24 be another statement of when this information is
25 present. So, in that sense, there might be a thing

1 looking to see if that context is there. Well, here,
2 there are two hard coded if statements of the
3 conventional variety that say, after we find out that
4 there's a polling event and there's an e-mail here, see
5 whether it's a request for quote. See if the subject
6 line says request for quote. I mean, it's so hard coded
7 that it's not a general capability that you could use to
8 tie the system to other systems or anything like that.
9 It's -- that's what I meant earlier on when I said it
10 was a narrow and fixed system. It has the
11 functionality, it has -- it doesn't really have an
12 extensibility mechanism for adding new modules,
13 integrating them in with rules, so I was looking for
14 such a capability here and only finding something that
15 looked very much like conventional technology in that
16 regard. And I don't think that patent was aimed at and
17 they didn't seem to be claiming that they had a new kind
18 of notification or event or some other system. They
19 were just more claiming that they had a system that
20 could allow you -- people in the field to customize
21 requests for complex stuff that they were going to
22 order. So they were more about -- I mean, their
23 emphasis on that patent was in a different place, so
24 they were just describing how they did it and they were
25 using conventional technology, in my view. Since it was

1 supposedly prior art, I was comparing it -- Cook's
2 description of it and then the actual patent, I was
3 comparing it to see whether it matched up with what I
4 took to be the -- I'll say focus that we see in these
5 independent claims of the '525 Patent as looking for
6 those concepts. And so, I mean, essentially, the form
7 of inference isn't really present there. We could
8 quibble about the other things, about whether it
9 detects, whether it really detects or whether it's just
10 a procedural step. It doesn't have sort of a separable,
11 extensible, reprogrammable detection mechanism anyway.
12 I suppose I said more than you wanted to hear.

13 Q. Would it be -- could it use a rule to simply do
14 a detection for a procedural step?

15 A. Could what do that? Could the Long patent?

16 Q. Could Long?

17 A. I don't see that Long has any rules that are
18 implemented as rules where someone could add or even
19 state a rule. There are -- you could say as a human
20 that you can see that the system hard codes rules and,
21 for instance, you could say that if someone requests a
22 quote, then the system will respond with a quote. We
23 humans would recognize that as a kind of a high level
24 rule, but that's so built into the system that that is
25 the only action that occurs, and there is no

1 extensible -- it's not like a statement of rule, it's
2 more like -- I mean, if that were what we meant by rule
3 here, almost everything in any program that we ever
4 wrote could be thought of as, if I execute this step,
5 then I'll execute the next one. If I execute this one,
6 I'll execute the next one, and we would have billions of
7 rules. And then we wouldn't really need to talk about
8 rules, because they'd be everywhere.

9 Q. In your view, what are we referring to when we
10 speak about rules here?

11 MR. PRIDHAM: Object to form.

12 A. In my view, the Court talks about logical rules
13 and the patent talks about -- gives a few examples of if
14 then kinds of rules, and it mentions inference, so it
15 seems to allow for rules not only of the kind if
16 antecedent then fact, also if antecedents then action,
17 so I believe that these separate -- what we might call a
18 rule base or collection of rules might well be a common
19 way to implement the inferring mechanism. Maybe not the
20 only way, but certainly if we never saw anything like
21 that, we would be sort of saying, well, what did we gain
22 in the '525 Patent from that? It was this separating
23 out of this business logic that is now encoded in these
24 separately specifiable rules that are fairly easy to
25 change, as opposed to writing programs, which is -- uses

1 a programmer. And so often in the AI world, we think of
2 declarative knowledge as easier to specify, and it
3 doesn't -- it's not really tied to a particular way it's
4 going to be used. We just state it, and then it's
5 modularly there. And so often I would be looking for
6 some kind of a mechanism for encoding relationships like
7 facts or relationships like if this happens, then this
8 is true or do this.

9 So if I don't see anything like that, which
10 is what I was looking for, I didn't see it in Long, so
11 essentially it's a sales automation step that helps a
12 salesperson work with a client in the field who has a
13 complex problem to specify. But it looked like it was
14 primarily using, while it used multiple components, it
15 was using conventional technology. And it wasn't so
16 much that it was introducing a way of integrating
17 systems, because there are only a fixed number of
18 systems and they're so hard coded together that it does
19 exactly what it does and it does no more, and there's
20 nothing in the patent that talks about being able to add
21 more functionality, whereas there's a presumption core
22 in this patent, at least in this specification, that
23 it's an integrated technique for hooking together
24 modules in a sales automation world.

25 Q. Okay. Thank you. You did mention earlier in

1 of the word inference in context of the predecessor
2 claim element, again, I'm looking for, in view of the
3 '525 Patent, I'm looking for some mechanism capability
4 portion of the system where I could discern that that is
5 a coupled event manager subsystem that has these
6 properties and I really didn't see evidence of that.

7 So it's not that I object to the initiating
8 to facilitate a new action, because you can see that in
9 some sense it does, the predecessor event causes another
10 action. It's just that I think that it's hard wired. I
11 don't see it as the result of rules or inference or what
12 I take to be the inference portion of this.

13 Q. Okay. Thank you. Okay. Let's move on to
14 Lockwood. Before we start, for each of these pieces of
15 art, we're looking at claim one, we're talking about the
16 preamble and then the elements.

17 A. Okay.

18 Q. Do you have a view of whether the preamble or
19 the limitations included in the preamble should be
20 required or are required?

21 MR. PRIDHAM: Object to form.

22 A. This is a broad question about all of these?

23 Q. Yeah. About the preamble, generally.

24 A. I don't know if that's a legal question,
25 whether preamble's are treated distinctly from the --

1 I'll call it the rest of the element. So as I come into
2 separately on the preamble, and I don't know whether
3 that's something that the Court decides, whether it's
4 known and it's always true or whether it's never true, I
5 don't know. But I did comment on it in each case, so I
6 have an opinion about each case, but just don't know
7 legally the status of the preamble.

8 Q. Fair enough. So you commented based on what
9 was there and whether it might be disclosed.

10 A. Right.

11 Q. Okay. So the preamble for Lockwood.

12 A. I guess I did say the Court has not construed
13 this preamble, so I presume that the Court has or could
14 have or might have come into or it must be relevant, so
15 I suppose I knew that and I think the lawyers and I
16 talked about including that phrase, so we included it or
17 I included it, but again, my own -- even at this moment
18 is what I said, I don't know the status of the
19 importance of that preamble.

20 Q. Okay.

21 A. In each of them. Okay. So your question?

22 Q. So for the preamble for Claim 1, do you believe
23 Lockwood discloses the preamble?

24 A. Let me just take a quick read, but -- largely.
25 So, it is computer implemented, it's a sales system,

1 it's used to facilitate a sales process. And so in that
2 I'd say largely, mostly sense, it is that. In the
3 largest spirit of the patent overall, like the title
4 says, sales automation, and to some extent, and
5 interested in situations where the claims help automate
6 the entire -- and I'll say larger sales process, I'm
7 thinking that the patent in its more broad and normal
8 sense could potentially be used to help in several
9 phases and with salespeople involved. So the thing that
10 Lockwood doesn't do, it's kind of a kiosk system where a
11 user is a customer at a mall or an auto sales place or
12 wherever, and they sit down, they interact with the
13 system, sort of like we do on the web, only this is pre-
14 web, and so the system when people thought about kiosks
15 are sort of specialty systems were remotely talking to a
16 special application. It's kind of like going to a place
17 and shopping, you know, at the library or something, but
18 you don't get to shop at home.

19 Anyway, because it's kiosk oriented, it's a
20 narrower kind of sales automation, but it certainly
21 automated sales. And so the answer is, to make our day
22 shorter, it's a special case of what the more general
23 case is about, I think, admits to or is in depth.
24 But -- so it will be true of the Lockwood system.

25 Q. Okay. Element A. On page 149, you mention

1 that Lockwood arguably performs this element. Could you
2 explain, I guess, how they arguably describe affects
3 your answer?

4 A. Let me read this again. Plurality -- certainly
5 has that. Facilitate one or more actions during at
6 least one phase of the sales process. In their world,
7 it was pretty much one phase as a sales process, which
8 was endurance -- I mean, the application that they
9 mostly talk about was -- I think it could have been
10 others, but certainly was getting quotes for insurance
11 and maybe possibly buying the insurance remotely. And I
12 suppose it's kind of mean to say arguably. It executes
13 this, and I was admitting that. It is, again, as -- I
14 guess it's sort of looking forward to later on elements,
15 but it's a hard coded system, again. It's a distributed
16 system with a remote kiosk. It doesn't have
17 salespeople, but it meets these claim elements.

18 Q. Okay. Thank you. For element B, on 150, you
19 do state that Lockwood performs this claim element.

20 A. Yes. Let me remember -- maybe it won't matter.
21 I think it's a distributed message passing system, so
22 instead of using e-mail as the communication, I think it
23 just uses a little higher level protocol that's more
24 sort of not something humans usually use but more like
25 subpoena row between calls the messages back and forth

1 Q. Okay. For element C, at the bottom of page
2 151, you state that Lockwood does not perform this claim
3 element. You state just below that, Lockwood does not
4 mention event trigger rule, in parens, for context.

5 How much of your opinion that Lockwood does
6 not perform this claim element is based on the fact that
7 the patent does not mention event trigger, rule,
8 inference or context?

9 MR. PRIDHAM: Object to form.

10 A. One of the tests I did with each of the patents
11 was simply to see what words they used and look for
12 words that seemed to me to be indicators that some
13 functionality was there. But another test I made was, I
14 read the patents carefully and I looked for mechanisms,
15 possibly described differently than these words. And
16 so, I'm just reporting here that I didn't see evidence
17 in the words that they used, and truly I didn't see
18 evidence of any mechanism when I was reading the patent
19 that would implement the inferring kind of layer that
20 we've been talking about.

21 Q. And when you say "mechanism," are you referring
22 to structure or a component?

23 A. I'll say a functionality. It could be a
24 structure or a component or even a hard coded procedural
25 logic. I'm looking for something that admits to an

1 extensibility mechanism that allows me to -- allows
2 someone, like the user or an implementer of systems to
3 add components and have communication beyond fixed exact
4 lines of communication, between fixed compoments, and in
5 Lockwood, there seemed to be only the kiosk and the back
6 end, I forget what they called it, but back in the
7 computer environment might be several kiosks, of course,
8 but there was limited functionality that the kiosk did
9 that would show you insurance quotes, let you specify
10 what you wanted, get a quote, then possibly let you buy
11 it, as I kind of recall.

12 And so that was a fixed family of
13 functions, and again, I didn't see any inferring
14 mechanism, functionality, layer, any part of the system,
15 any of those kinds of things to latch onto and say, oh,
16 there it is. That's the part of the system that does
17 these things. That would be analogous for part of the
18 system over here. In fact, the '525 that does that. I
19 didn't find such a part.

20 Q. Using that analogy, what parts of the '525 do
21 perform that functionality?

22 A. Well, for instance, in the '525, in just Claim
23 1, we've been talking quite a bit today about an event
24 manager that among other things that's an inferring step
25 in the '525 when we were reading the body of the patent.

1 for that customer that may be related to that purchase.
2 Okay. So that's the -- so it is a computer implemented
3 sales system using sales, facilitates the sales process,
4 so the preamble is met.

5 Q. Okay. For element A, at the bottom of page
6 184, you do agree that Deaton performs Claim 1, element
7 A.

8 A. I have my usual narrow phase of -- meaning
9 that, again, it's brittle, like these older systems, it
10 does exactly what it does but it's not naturally
11 extensible to -- it's kind of like the things that they
12 talk about at the beginning of this patent, '525 Patent,
13 where they talk about predecessor systems that could do
14 limited functions. This one, again, does two kinds of
15 functions. One is recognizing that it's seen a
16 customer, keeping track of them, into giving them some
17 coupons. So, yeah, it meets the -- but it's limited.
18 It has a plurality of systems. They do what the claim
19 element says.

20 Q. Okay. For element B, at the top of page 186,
21 you state that Deaton does not perform this claim
22 element. And in the second paragraph, you mention that
23 Deaton is not directly -- or not directed to sales force
24 automation. Is that a strong basis for your opinion?

25 MR. PRIDHAM: Object to form.

1 current transactions.

2 A. Okay. Would have been helpful here looking now
3 and in light of the late hour of the day if he had
4 actually provided a reference to where that would have
5 been because then I would go read that. Now, if there
6 is an area of the patent where there is a list of rules
7 that do that kind of thing, I would be interested in
8 looking back at it.

9 It is certainly possible that there's an
10 area of -- I'll call it program logic, but by that I
11 mean built in, hard coded list of case statements that
12 are in this program that do that. So, again, if that
13 were the case, I would not identify that as a rule and
14 inference, because I would say that that's where we
15 would be reading in inference by just using that word in
16 a human like way. And I would say if a system doesn't
17 implement a way to do this based on these declarative
18 statements, then I wouldn't accept it as inferring and
19 rules and so on. So it would depend on -- now, I would
20 go back and, you know, I'm interested, I'll be
21 interested in going back to look at this to see whether
22 there is anything there, although it might take me a
23 long time, because he didn't give me reference. Would
24 have been nice to have that. So I wouldn't accept it on
25 face value just because he said it, because sometimes in

1 other places he said things like this that might have --
2 okay. I ran a piece of code that looked in the database
3 and got for this customer that they're an infrequent
4 shopper. That's a normal query in a database. I could
5 use conventional queries and that might be a line of
6 code. See if this is a traditional shopper because they
7 don't show up very often by some threshold. You could
8 write a little line of code that would do that. It
9 could be a rule or part of a rule, the ability to add
10 more such rules or the ability to change the rule in
11 some way or the ability to reason with the rule and have
12 then statements and some flexibility. But if it's just
13 a line of code that then does the next line of code and
14 says, well, I found that it's a threshold infrequent
15 shopper, therefore, make a better deal for them or worse
16 deal. You know, some people like their frequent
17 customers and they'll give them a better deal, some
18 might want to entice the infrequent ones. But if that's
19 built into the procedural logic, then I wouldn't -- I
20 can see how someone would describe it to their mother as
21 one of the rules in their system, but I wouldn't accept
22 it in the sense of the '525 Patent as a -- it wouldn't
23 be a flexibility mechanism that allows me to add or
24 change my business rule, so I would want to see that.
25 And since he didn't reference it, I'd have to search for

1 any event manager. And so Dr. Cook identified the main
2 processor in the computer as an event manager. A little
3 bit of a stretch if that's really what -- I'd have to go
4 back and read it carefully, but, you know, it's kind of
5 like saying, if I'm right and that's what he did, that
6 would be a little like saying, every program has data
7 and it all has events and it's all -- I mean, I'm
8 looking for the sort of technical attributes of a thing,
9 like an event manager.

10 Q. But you are aware that the Court has construed
11 event manager to be hardware and/or software?

12 A. Yes. Right. That is what the Court says. And
13 so that is correct, event manager is hardware and
14 software. Interestingly, as soon as we say that,
15 whenever the event manager appears, for instance, on
16 Claim 1, then we start saying things about it. So,
17 while it's hardware and software, as long as it meets
18 these other things, like it's coupled, that is, it's a
19 separable system, talks to other systems or it has these
20 detecting, inferring and automatically initiating, then
21 these are -- so any -- nearly anything is an event
22 manager unless it does this, then it's the kind we care
23 about. Okay.

24 Q. Okay. Let's move on to element C on 274. You
25 state that Cragun does not perform this claim element.

1 middle. Associate, tell me this.

2 That there would be a possibility that you
3 could build expert systems that are recommending things.
4 In fact, we're going to see that in Cook, I think,
5 later.

6 Q. Okay. Let's see. Element D on 277.

7 A. Okay.

8 Q. Just want to confirm that you state Cragun does
9 not perform this claim element. And your basis is
10 because as we've discussed, there's -- the action is not
11 based on the inferred context, since we don't have the
12 inferring.

13 A. I didn't say those words exactly, but that is,
14 again, consistent with what I've been looking for all
15 day long in these patents, which I think is in the '525,
16 isn't in these so far, and so I'm not saying it.

17 Q. Okay. All right. Let's move on to Gorog.

18 A. Yeah. This should be Gorog arrest /KHEPB par
19 could or whatever it is.

20 Q. Yeah. Claim one's preamble is on 330.

21 A. Give me a second on Gorog once I find it. 330?
22 I want to just remember. I think I have a good memory
23 of this, but it's -- I'm still finding it. Okay. 326.
24 Give me one second. Oh, yeah, this is the OCT, Order
25 Computer Terminals.

1 Q. Okay.

2 A. Okay. I have a high level memory of this.

3 Q. Okay.

4 A. So, preamble, computer implementing, sales
5 system uses facilities in its process.

6 Q. Yes. On 330, just wanted to confirm --

7 A. I do confirm.

8 Q. Okay. Claim 1, element A. Just want to
9 confirm that you state that Gorog does perform this
10 element. That's at the bottom of 331.

11 A. Yes.

12 Q. Okay. Element B, 333.

13 A. A merciful great answer, yes. Okay. Where are
14 we now, page three --

15 Q. On 333.

16 A. 333. Okay.

17 Q. Claim 1, element B. Your opinion is that Gorog
18 does not perform this claim element. Okay. Down
19 towards the bottom of the page, last full paragraph, the
20 change of state, the OCT terminal seems to accept is
21 just the user entering the purchase. This does not seem
22 to be equivalent to the '525 event manager that is
23 capable of integrating a collection of sales processes
24 and that uses inference and rules.

25 A. So here I'm not -- truly not really objecting

1 to the possibility that Gorog could have mechanisms that
2 do the sort of historically common event management
3 because it is a distributed system, and my strong
4 preference would be to believe that distributed systems
5 almost always contain the simple event manager idea
6 because they're reacting to things from the outside. So
7 I think I'm mainly saying that I didn't see in Gorog a
8 good enough description that would allow me to recognize
9 this claim element.

10 Q. Okay. Is that the basis for your use of the
11 term seems to?

12 A. Yes. I mean, this is --

13 Q. So, in fact, it could possibly be --

14 A. It could possibly be. I just couldn't discern
15 it from the description that was in the Gorog.

16 Q. Okay. Element C on page 335. You state that
17 Gorog does not perform this claim element. This is the
18 full and firing stat.

19 A. And I suppose to make life simple here I --
20 where in contrast I might have given an inch and so on,
21 I really want to see the evidence of, you know, show me
22 some bacon here, and I'm not seeing the bacon. I didn't
23 see the bacon in the other one, and I could have
24 accepted that maybe there was something there because
25 events in the traditional variety have existed for a

1 Q. Okay.

2 A. So it wasn't required.

3 Q. We are referring to the inferring step here.

4 A. Right. But I wasn't finding the inferring
5 mechanism even whether it was a single system, a single
6 function box or whatever.

7 Q. Okay.

8 A. Single module of the sales system.

9 Q. Would you agree that Claim 1 does not require
10 sharing rules across system boundaries?

11 A. Yes. Well, let me see. Claim 1 requires a
12 plurality of subsystems, and it requires a separable
13 event manager, and so to the extent that the event
14 manager is a -- it is a separable itself thing, that's
15 coupled, then, to those systems, then similar in this
16 picture, while the event manager module, it could talk
17 to just one system, but information interchange is
18 happening between the event manager and that at least
19 one system. So there will at least be two systems,
20 one of them being the event, which is -- it's a
21 separable thing that's coupled to a subsystem.

22 Q. Okay.

23 A. You see what I'm saying?

24 Q. Yes, I do. Thank you. But does Claim 1
25 require that rules are shared or passed across subsystem

1 boundaries?

2 A. No, I don't think so.

3 Q. Okay. Does Claim 1 require that the system be
4 a flexible scheme for sharing information?

5 A. Claim one, whether it requires it or not, it's
6 used to facilitate a sales process that has this
7 plurality. And so while I would say it doesn't
8 explicitly require it in the language of the claim, if
9 we go back into the body of the patent, even to the
10 title of the patent and also to the rationale for the
11 patent, we would be understanding that integration is
12 central and these are top level claims. So my training
13 tells me that we are adding flexibility to the system at
14 the level of extracting out business rules that are then
15 easily changeable or shareable information, we're
16 putting those facts and rules in a place where we could
17 operate on them more easily. And that would be a better
18 integration mechanism. So, no, I'm reading that in
19 based on my understanding of -- my understanding, my
20 history, the patent specification motivation, and then
21 I'm looking at what these claims require. And so, no,
22 I'm reading, to the extent that I'm reading it and
23 you're asking about it, Claim 1 doesn't explicitly talk
24 about why you do it. It says, if you do these things,
25 then you're obeying Claim 1.

1 Q. Would your answer be similar if I were to
2 mention the terms we've used today, modular and
3 expandable? Like so that the system being modular or
4 the system being expandable, similarly, they're not
5 required in Claim 1?

6 A. Right. Claim 1 doesn't actually require them.
7 And actually modular and expandable can be -- can build
8 modular, expandable systems without having any inference
9 capability. So, I suppose different ways to answer your
10 question, but I think the way you asked it, this claim
11 doesn't talk about modularity and expanse -- integration
12 or expansion joints or any of those notions explicitly,
13 but when I read it, knowing what I know and also knowing
14 the motivation, then I believe that it will result in a
15 system that does have those properties.

16 Q. Okay. Element D.

17 A. Or it's intended to. Element B?

18 Q. D. I'm sorry. D. On 336.

19 A. Okay.

20 Q. You state, Gorog does not perform this claim
21 element. And, again, it appears your reliance here is
22 based on no inferred context.

23 A. Right.

24 Q. Okay. Maybe due to the time, we'll skip Stone.
25 Let me just look at a couple more general questions.